



**KINGSBRIDGE**  
WEALTH MANAGEMENT

**FACTS**

**WHAT DOES KINGSBRIDGE WEALTH MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?**

**Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and investment experience
- Account balances and Account Number
- Account Transactions and Assets

When you are *no longer* our customer, we continue to share your information as described in this notice.

**How?**

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Kingsbridge Wealth Management, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Kingsbridge Wealth Management, Inc. share?	Can you limit this sharing?
For our everyday business purposes—such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes—to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes—information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes—information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No	We don't share

**Questions?**

Call 1-702-947-5160 or go to [www.kwm.us.com](http://www.kwm.us.com)

## Who we are

Who is providing this notice?

Kingsbridge Wealth Management Inc.

## What we do

How does Kingsbridge Wealth Management, Inc. protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Kingsbridge Wealth Management, Inc. collect my personal information?

We collect your personal information, for example, when you

- open an account or give us your income information
- provide account information or give us your employment information
- tell us about your investment or retirement portfolio or give us your contact information

We also collect your personal information from other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliated to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Kingsbridge Wealth Management, Inc. has affiliates*

We do not share information among our affiliates for marketing purposes.

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Kingsbridge Wealth Management, Inc. doesn't share with nonaffiliates so they can market to you*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Kingsbridge Wealth Management, Inc. doesn't jointly market*

## Other important information

### Information for Vermont and California Customers

In response to a Vermont regulation, if we disclose personal information about you to nonaffiliated third parties with whom we have joint marketing agreements, we will only disclose your name, address, other contact information, and information about our transactions or experiences with you.

In response to a California law, we automatically treat accounts with California billing addresses as if you do not want to disclose personal information about you to nonaffiliated third parties except as permitted by the applicable California law. We will also limit the sharing of personal information about you with our affiliates to comply with all California privacy laws that apply to us.